

09-13-04

DFW

Certificate of Mailing (37 C.F.R. §1.10):

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s/ Pamela Hollander
Pamela Hollander



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **James FREDDO, et al.**

Serial No.: **10/816,242**

Confirmation No.: **9207**

Filed: **April 1, 2004**

For: **DOSAGE FORMS AND METHODS OF TREATMENT USING VEGFR INHIBITORS**

Group Art Unit: **1614**

Examiner: **Not Yet Assigned.**

Honorable Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. § 1.97(b) or 1.97(c)

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of (1) a Final Action under § 1.113; (2) a Notice of Allowance under § 1.311; or (3) an action that otherwise closes prosecution in the application. The Commissioner is hereby authorized to charge the fee as set forth in § 1.17(p) to Deposit Account Number 500329.

- ☐ Applicant requests that the Examiner consider the following copending applications:

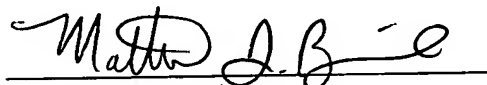
Application Serial No.	Filing Date

- ☐ Copies of these copending applications are enclosed.
- ☒ Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith. Copies of the cited references, except as noted below, are enclosed.
- ☐ This application is a continuation, divisional or continuation-in-part of Serial No. . Copies of the cited references, if not enclosed, are available in the file of the parent application or parents thereof.
- ☒ This application was filed after June 30, 2003, or entered U.S. national stage under 35 U.S.C. § 371, after June 30, 2003. Copies of U.S. Patents and U.S. Patent Application Publications are not enclosed. (1276 OG 55).
- ☐ Applicant hereby requests consideration of the enclosed International Search Report, which was received in a related international patent application.

The Commissioner is hereby authorized to charge any fee deficiency, including any fee required under 37 C.F.R. § 1.17(p), or credit any overpayment, to Deposit Account Number 500329. A duplicate copy of this form is enclosed.

Respectfully submitted,

Date: September 9, 2004


Matthew J. Pugmire
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PC25581A US
Supplemental Information Disclosure Statement
For Appln. No. 10/816,242



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TRANSMITTAL LETTER

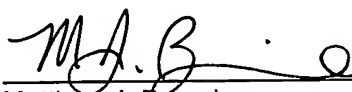
Transmitted herewith are the following documents:

Return Receipt Postcard
Transmittal of Supplemental Information Disclosure Statement
PTO.SB/08A Form 1449
Copies of Cited References
Fee Due

1 postcard;
2 pages;
1 page;
6 references; and
Deposit Account.

Respectfully submitted,

Date: **September 9, 2004**


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Substitute for form 1449/PTO

Complete if Known

Application Number	10/816,242
Filing Date	April 1, 2004
First Named Inventor	James FREDDO
Art Unit	1614
Examiner Name	To be Assigned.
Attorney Docket Number	PC25881A US

Supplemental
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
 (Use as many sheets as necessary)

SEP 0 9 2004

U.S. PATENT & TRADEMARK OFFICE

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	HU-LOWE, D., et al., "Pharmacological Activities of AG013736, a Small Molecule Inhibitor of VEGF/PDGR Receptor Tyrosine Kinases," <i>Proceedings of the American Association for Cancer Research Annual Meeting</i> , March 2002, p. 1082, Vol. 43	
	AB	LAIRD, D.A., et al., "Small Molecule Tyrosine Kinase Inhibitors: Clinical Development of Anticancer Agents," <i>Expert Opinion on Investigational Drugs</i> , January 2003, pp. 51-64, 01, Vol. 12, No. 1	
	AC	MARME, D., "The Impact of Anti-angiogenic Agents on Cancer Therapy," <i>J. Cancer Res. Clin. Oncol.</i> , November 2003, pp. 607 – 620, Vol. 129, No. 11.	
	AD	SAKI, P., et al. "AG-013736, a Potent VEGF/PDGF Receptor Tyrosine Kinase Inhibitor, is Active Against Lymphoma Growth and Chemotherapy-induced Vasculogenesis," <i>Blood</i> , November 16, 2003, p. 649a, Vol. 102, No. 11.	
	AE	WICKMAN, G., et al., "Further Characterization of the Potent VEGF/PDGF Receptor Tyrosine Kinase Inhibitor AG-013736 in Preclinical Tumor Models for its Antiangiogenesis and Antitumor Activity," <i>Proceedings of the American Association for Cancer Research Annual Meeting</i> , July 2003, pp. 752-753, Vol. 44., 2 nd ed.	
	AF	WILMES, L.J., et al., "AG -013736, a Novel VEGFR TK Inhibitor, Suppresses Tumor Growth and Vascular Permeability in Human BT474 Breast Cancer Xenografts in Nude Mice," <i>Proceedings of the American Association for Cancer Research Annual Meeting</i> , July 2003, p. 751, Vol. 44. 2 nd ed.	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.